



Odd Lot Order Service FAQ

Q1. What is “Odd Lot” Order Service?

- “Odd Lot” commonly refers to a quantity of securities that is less than one trading unit (i.e. one board lot). The “Odd Lot” Order Service allows you to sell odd lot through our eBanking (i.e. Internet and Mobile Banking). You may either sell the odd lot in one single order or sell the odd lot together with board lot.

Q2. Through which channel can I place the Odd Lot Sell Order?

- You can sell odd lot via Internet Banking, Mobile Banking, Call Centre or share trading counters at branches.

Q3. Is Odd Lot Order Selling at Market Price?

- If you are selling merely an odd lot in one single order, the order price would be set as you input. In general, share prices of odd lots are slightly lower than that of the same security in the trading of board lot due to their lower liquidity. To enhance the chance of execution, you may set an order price slightly lower than the market price. The odd lot order will be processed manually and so may take a longer processing time than board lot order.
- If you are selling merely an odd lot via Internet Banking or Mobile Banking, **input price must be at least 5 spreads lower than the nominal price.**

Q4. How would the order be handled if my odd lot is sold together with board lot?

- If you place a sell order consisting of both odd lot and board lot portions, your order will be regarded as two separate orders being placed automatically – the board lot order will be handled by using the selling price set by you as the order price; while the odd lot order will be handled after the board lot order has been fully executed and the order price of the odd lot will be set at 19 spreads lower than the input selling price. Upon requesting cancellation of either one of these two orders, quantities of shares that have not been executed in each of the two orders will be cancelled.

Q5. How would the Odd Lot Order transaction be charged?

- Transaction fees of trading odd lot are the same as those of trading board lot.